

Format of holding of specified securities

Annexure - I

- 1 **Name of Listed Entity : U Y FINCORP LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security : *BSE - 530579, CSE - 100 17059*
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2021**
- 4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-



Table I - Summary Statement holding of specified securities

Category (i)	Category of shareholder (ii)	Nos. of shares (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)			Total as a % of (A+B+C)	No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								Class eq: x	Class eq: y	Total				No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group	6	116940217	0	0	116940217	61.470	116940217	0	116940217	61.470	0	0.000	0	0.000	0	0.000	116940217
(B)	Public	5262	73298112	0	0	73298112	38.530	73298112	0	73298112	38.530	0	0.000	0	0.000	0	0.000	73161693
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRS																	
(C2)	Shares held by Employees/Trusts																	
	TOTAL	5268	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	0.000	0	0.000	0	0.000	190101910



Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

(1)	Category & Name of shareholders (i)	Entry type: ie promoter OR promoter group entity (except promoter)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 As a % of (A+B+C2) (viii)	(ix) Number of Voting Rights held in each class of securities			Total as a % of Voting rights	Nos. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = % of (A+B+C2)	(xii) Number of Locked in shares		(xiii) Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)		
										Class x	Class y	Total				(a)	(b)	(a)	(b)			
(1)	Indian Individuals/Hindu Undivided Family			4	68091200	0	0	68091200	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	68091200	
(a)		PROMOTER GROUP																				
					28000	0	0	28000	0.015	0	0	0	0.015	0	0.000	0	0	0	0	0	28000	
					33440499	0	0	33440499	17.578	0	0	0	17.578	0	0.000	0	0	0	0	0	33440499	
					1139002	0	0	1139002	0.599	0	0	0	0.599	0	0.000	0	0	0	0	0	1139002	
					33483699	0	0	33483699	17.601	0	0	0	17.601	0	0.000	0	0	0	0	0	33483699	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(b)	Government/State Government(s)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(c)	Financial Institutions/Banks			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(d)	Any Other Bodies Corporate			2	48849017	0	0	48849017	25.678	0	0	0	25.678	0	0.000	0	0	0	0	0	48849017	
					37046208	0	0	37046208	19.474	0	0	0	19.474	0	0.000	0	0	0	0	0	37046208	
					11802809	0	0	11802809	6.204	0	0	0	6.204	0	0.000	0	0	0	0	0	11802809	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					116940217	0	0	116940217	61.470	0	0	0	61.470	0	0.000	0	0	0	0	0	116940217	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(2)	Foreign Resident Individuals/Non-Resident Individuals/Foreign Individuals)			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(a)	Government			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(b)	Institutions			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(c)	Foreign Portfolio Investor			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(d)	Any Other			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
(e)	OCSs			0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0	0	0	0	0	
					0	0																

Table III - Statement showing shareholding pattern of the Public shareholder

(1)	Category & Name of shareholder (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Outstanding Shares (including Warrants) (x)	Shareholding as a % assuming full conversion of securities (as a percentage of diluted share capital) (xi) = (vii)/(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (xiv)
									Class x	Class y	Total				(a)	As a % of total Shares held (b)	(a)	As a % of total Shares held (b)	
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Central Government/State Government(s)/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(2)	Sub-Total (B)(2)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		5040	7103963	0	0	7103963	3.734	7103963	0	7103963	3.734	0	3.734	0	0.000	0	0.000	6983944
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		102	15826854	0	0	15826854	8.319	15826854	0	15826854	8.319	0	8.319	0	0.000	0	0.000	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0



Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			Total as a % of Total Voting rights	No. of Shares Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
								Class x	Class y	Total				(a)	As a % of total Shares held (b)	(a)	As a % of total Shares held (b)		
(1) Custodian DR Holder																			
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014																			
Total Non-Promoter - Non-Public Shareholding (C)=(1)+(C)+(2)																			



Table V - Statement showing shareholding details of significant beneficial owner

Details of the significant beneficial owner (I)				Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari	ABBPK9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	17.59			No	No	30-01-2017

