

Format of holding of specified securities

Annexure - I

1 Name of Listed Entity : U Y FINCORP LIMITED

2 Scrip Code/Name of Scrip/Class of Security : BSE:- 530579 & CSE:- 10017059

3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/12/2020**

4 Declaration:

	Particulars	Yes	No
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter	5	114998406	0	0	114998406	60.450	114998406	0	114998406	60.450	0	60.450	0	0.000	0	0.000	114998406
(B)	Public	2572	75239923	0	0	75239923	39.550	75239923	0	75239923	39.550	0	39.550	0	0.000	0	0.000	75102504
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	2577	190238329	0		190238329	100.000	190238329	0	190238329	100.000	0	100.000	0	0.000	0	0.000	190100910

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders (I)	PAN (II)	Nos. of sharehold- ers (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family		3	66952198	0	0	66952198	35.194	66952198	0	66952198	35.194	0	0.000	0	0.000	0	0.000	66952198
	DEEPAK KOTHARI	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.000	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	ABBP9382M	0	33440499	0	0	33440499	17.578	33440499	0	33440499	17.578	0	0.000	0	0.000	0	0.000	33440499
	UDAI KOTHARI	AQMPK0691F	0	33483699	0	0	33483699	17.601	33483699	0	33483699	17.601	0	0.000	0	0.000	0	0.000	33483699
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	48046208	0	0	48046208	25.256	48046208	0	48046208	25.256	0	0.000	0	0.000	0	0.000	48046208
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	AAAAL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	0.000	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	AAACU4253G	0	11000000	0	0	11000000	5.782	11000000	0	11000000	5.782	0	0.000	0	0.000	0	0.000	11000000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		5	114998406	0	0	114998406	60.450	114998406	0	114998406	60.450	0	0.000	0	0.000	0	0.000	114998406
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		5	114998406	0	0	114998406	60.450	114998406	0	114998406	60.450	0	0.000	0	0.000	0	0.000	114998406

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting Rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(2)	Central Government/State Government(s)/President of India		2	15000	0	0	15000	0.008	15000	0	15000	0.008	0	0.008	0	0.000	0	0.000	15000
	Sub-Total (B)(2)		2	15000	0	0	15000	0.008	15000	0	15000	0.008	0	0.008	0	0.000	0	0.000	15000
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		2383	5224943	0	0	5224943	2.747	5224943	0	5224943	2.747	0	2.747	0	0.000	0	0.000	5103924
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		53	6263387	0	0	6263387	3.292	6263387	0	6263387	3.292	0	3.292	0	0.000	0	0.000	6263387
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		88	62891068	0	0	62891068	33.059	62891068	0	62891068	33.059	0	33.059	0	0.000	0	0.000	62875668
	ALLTIME DEALER PRIVATE LIMITED .	AAJCA0780D	0	8418531	0	0	8418531	4.425	8418531	0	8418531	4.425	0	4.425	0	0.000	0	0.000	8418531
	LADDO GARMENTS PRIVATE LIMITED	AADCL3414F	0	3342016	0	0	3342016	1.757	3342016	0	3342016	1.757	0	1.757	0	0.000	0	0.000	3342016
	MAINLAND FINANCE PVT. LTD	AACCM3533D	0	4712150	0	0	4712150	2.477	4712150	0	4712150	2.477	0	2.477	0	0.000	0	0.000	4712150
	MOONRISE VINCOM PRIVATE LIMITED	AAHCM6894J	0	7500000	0	0	7500000	3.942	7500000	0	7500000	3.942	0	3.942	0	0.000	0	0.000	7500000
	ONWARD SUPPLIERS PRIVATE LIMITED .	AABCO3979Q	0	9342032	0	0	9342032	4.911	9342032	0	9342032	4.911	0	4.911	0	0.000	0	0.000	9342032
	PMB HOLDINGS PVT LTD	AABCP5003G	0	2986610	0	0	2986610	1.570	2986610	0	2986610	1.570	0	1.570	0	0.000	0	0.000	2986610
	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	6712156	0	0	6712156	3.528	6712156	0	6712156	3.528	0	3.528	0	0.000	0	0.000	6712156
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		14	322479	0	0	322479	0.170	322479	0	322479	0.170	0	0.170	0	0.000	0	0.000	322479
	NRI - Non-Repatriable		7	148551	0	0	148551	0.078	148551	0	148551	0.078	0	0.078	0	0.000	0	0.000	147551
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		22	227014	0	0	227014	0.119	227014	0	227014	0.119	0	0.119	0	0.000	0	0.000	227014
	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382
	Sub-Total (B)(3)		2569	75224824	0	0	75224824	39.542	75224824	0	75224824	39.542	0	39.542	0	0.000	0	0.000	75087405
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		2572	75239923	0	0	75239923	39.550	75239923	0	75239923	39.550	0	39.550	0	0.000	0	0.000	75102504

Table V - Statement showing shareholding details of significant beneficial owner

Details of the significant beneficial owner (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:						
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari	ABBPk9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	17.59			No	No	30-01-2017