

**Format of holding of specified securities**

**Annexure - I**

- 1 **Name of Listed Entity : U Y FINCORP LIMITED**
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : **Quarter Ended 31/03/2021**
- 4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

**Table I - Summary Statement holding of specified securities**

Category (i)	Category of shareholder (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	No. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (viii) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (ix)				No. of Shares Underlying convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi)=(vii)+(x) as a % of (A+B+C)	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	6	115156847	0	0	115156847	60.533	115156847	0	115156847	60.533	0	60.533	0	0.000	0	0.000	115156847
(B)	Public	2619	75081482	0	0	75081482	39.467	75081482	0	75081482	39.467	0	39.467	0	0.000	0	0.000	74944863
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>2625</b>	<b>190238329</b>	<b>0</b>		<b>190238329</b>	<b>100.000</b>	<b>190238329</b>	<b>0</b>	<b>190238329</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>190101710</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)/(X) as a %	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class	Class	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
	Individuals/Hindu undivided Family		4	67110639	0	0	67110639	35.277	67110639	0	67110639	35.277	0	35.277	0	0.000	0	0.000	67110639
(a)	DEEPAK KOTHARI	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.015	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	ABBPK9382M	0	33440499	0	0	33440499	17.578	33440499	0	33440499	17.578	0	17.578	0	0.000	0	0.000	33440499
	DIPTI DEEPAK KOTHARI	AETPK9531R	0	158441	0	0	158441	0.083	158441	0	158441	0.083	0	0.083	0	0.000	0	0.000	158441
	UDAI KOTHARI	AQMPK0691F	0	33483699	0	0	33483699	17.601	33483699	0	33483699	17.601	0	17.601	0	0.000	0	0.000	33483699
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	48046208	0	0	48046208	25.256	48046208	0	48046208	25.256	0	25.256	0	0.000	0	0.000	48046208
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	19.474	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	AAACU4253G	0	11000000	0	0	11000000	5.782	11000000	0	11000000	5.782	0	5.782	0	0.000	0	0.000	11000000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		6	115156847	0	0	115156847	60.533	115156847	0	115156847	60.533	0	60.533	0	0.000	0	0.000	115156847
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		6	115156847	0	0	115156847	60.533	115156847	0	115156847	60.533	0	60.533	0	0.000	0	0.000	115156847

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(2)	Central Government/State Government(s)/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
	Sub-Total (B)(2)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		2449	5116413	0	0	5116413	2.689	5116413	0	5116413	2.689	0	2.689	0	0.000	0	0.000	4996194
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		45	4070248	0	0	4070248	2.140	4070248	0	4070248	2.140	0	2.140	0	0.000	0	0.000	4070248
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		86	65274400	0	0	65274400	34.312	65274400	0	65274400	34.312	0	34.312	0	0.000	0	0.000	65259000
	ALLTIME DEALER PRIVATE LIMITED .	AAJCA0780D	0	8418531	0	0	8418531	4.425	8418531	0	8418531	4.425	0	4.425	0	0.000	0	0.000	8418531
	LADDO GARMENTS PRIVATE LIMITED	AADCL3414F	0	3342016	0	0	3342016	1.757	3342016	0	3342016	1.757	0	1.757	0	0.000	0	0.000	3342016
	MAINLAND FINANCE PVT. LTD	AACCM3533D	0	5214346	0	0	5214346	2.741	5214346	0	5214346	2.741	0	2.741	0	0.000	0	0.000	5214346
	MOONRISE VINCOM PRIVATE LIMITED	AAHCM6894J	0	7500000	0	0	7500000	3.942	7500000	0	7500000	3.942	0	3.942	0	0.000	0	0.000	7500000
	ONWARD SUPPLIERS PRIVATE LIMITED .	AABCO3979Q	0	9342032	0	0	9342032	4.911	9342032	0	9342032	4.911	0	4.911	0	0.000	0	0.000	9342032
	PMB HOLDINGS PVT LTD	AABCP5003G	0	2986610	0	0	2986610	1.570	2986610	0	2986610	1.570	0	1.570	0	0.000	0	0.000	2986610
	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	6712156	0	0	6712156	3.528	6712156	0	6712156	3.528	0	3.528	0	0.000	0	0.000	6712156
	VISHNUPRIYA VINTRADE PRIVATE LIMITED	AADCV7279A	0	2301436	0	0	2301436	1.210	2301436	0	2301436	1.210	0	1.210	0	0.000	0	0.000	2301436
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		13	292826	0	0	292826	0.154	292826	0	292826	0.154	0	0.154	0	0.000	0	0.000	292826
	NRI - Non- Repatriable		8	148651	0	0	148651	0.078	148651	0	148651	0.078	0	0.078	0	0.000	0	0.000	147651
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		14	27463	0	0	27463	0.014	27463	0	27463	0.014	0	0.014	0	0.000	0	0.000	27463
	IEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382
	Sub-Total (B)(3)		2617	75077383	0	0	75077383	39.465	75077383	0	75077383	39.465	0	39.465	0	0.000	0	0.000	74940764
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)( 3)		2619	75081482	0	0	75081482	39.467	75081482	0	75081482	39.467	0	39.467	0	0.000	0	0.000	74944863



**Table V - Statement showing shareholding details of significant beneficial owner**

Details of the significant beneficial owner (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:						
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)
1	Deepak Kothari	ABBPk9382M	Indian	Deepak Kothari (HUF)	AABHD7041M	Indian	17.59			No	No	30-01-2017