

**Format of holding of specified securities**

**Annexure - I**

**1 Name of Listed Entity : U Y FINCORP LIMITED**

**2 Scrip Code/Name of Scrip/Class of Security : BSE:- 530579, CSE :-10017059**

**3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : Quarter Ended 30/06/2020**

**4 Declaration:**

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		NO
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipt are issued?		NO
4	Whether the Listed Entity has any shares in locked-in?		NO
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO

**5 The tabular format for disclosure of holding of specified securities is as follows:-**

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No. of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	5	114998406	0	0	114998406	60.450	114998406	0	114998406	60.450	0	60.450	0	0.000	0	0.000	114998406
(B)	Public	2546	75239923	0	0	75239923	39.550	75239923	0	75239923	39.550	0	39.550	0	0.000	0	0.000	75102504
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	<b>TOTAL</b>	<b>2551</b>	<b>190238329</b>	<b>0</b>		<b>190238329</b>	<b>100.000</b>	<b>190238329</b>	<b>0</b>	<b>190238329</b>	<b>100.000</b>	<b>0</b>	<b>100.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>190100910</b>

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (i)	PAN (ii)	Nos. of shareholders (iii)	No. of fully paid-up equity shares held (iv)	No. of partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipt (vi)	Total nos. shares held (vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (viii)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (xi) = (viii)/(x) as a %	Number of Locked in shares (xii)		Number of Shares pledged or otherwise encumbered (xiii)		Number of equity shares held in dematerialized form (xiv)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals/Hindu undivided Family		3	66952198	0	0	66952198	35.194	66952198	0	66952198	35.194	0	0.000	0	0.000	0	0.000	66952198
	DEEPAK KOTHARI	AABHD7041M	0	28000	0	0	28000	0.015	28000	0	28000	0.015	0	0.000	0	0.000	0	0.000	28000
	DEEPAK KOTHARI	ABBP9382M	0	33440499	0	0	33440499	17.578	33440499	0	33440499	17.578	0	0.000	0	0.000	0	0.000	33440499
	UDAI KOTHARI	AQMPK0691F	0	33483699	0	0	33483699	17.601	33483699	0	33483699	17.601	0	0.000	0	0.000	0	0.000	33483699
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		2	48046208	0	0	48046208	25.256	48046208	0	48046208	25.256	0	0.000	0	0.000	0	0.000	48046208
	LOTUS CAPITAL FINANCIAL SERVICES LIMITED	AAACL4520B	0	37046208	0	0	37046208	19.474	37046208	0	37046208	19.474	0	0.000	0	0.000	0	0.000	37046208
	U Y INDUSTRIES PRIVATE LTD	AAACU4253G	0	11000000	0	0	11000000	5.782	11000000	0	11000000	5.782	0	0.000	0	0.000	0	0.000	11000000
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)[1]		5	114998406	0	0	114998406	60.450	114998406	0	114998406	60.450	0	0.000	0	0.000	0	0.000	114998406
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)[2]		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	<b>Total Shareholding of Promoter and Promoter Group (A)=(A)[1]+(A)[2]</b>		<b>5</b>	<b>114998406</b>	<b>0</b>	<b>0</b>	<b>114998406</b>	<b>60.450</b>	<b>114998406</b>	<b>0</b>	<b>114998406</b>	<b>60.450</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>114998406</b>

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QFI - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		1	99	0	0	99	0.000	99	0	99	0.000	0	0.000	0	0.000	0	0.000	99
(2)	Central Government/State Government(s)/President of India		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
	Sub-Total (B)(2)		1	4000	0	0	4000	0.002	4000	0	4000	0.002	0	0.002	0	0.000	0	0.000	4000
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		2361	5532802	0	0	5532802	2.908	5532802	0	5532802	2.908	0	2.908	0	0.000	0	0.000	5411783
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		53	6140411	0	0	6140411	3.228	6140411	0	6140411	3.228	0	3.228	0	0.000	0	0.000	6140411
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		90	63019228	0	0	63019228	33.126	63019228	0	63019228	33.126	0	33.126	0	0.000	0	0.000	63003828
	ALLTIME DEALER PRIVATE LIMITED .	AAJCA0780D	0	8418531	0	0	8418531	4.425	8418531	0	8418531	4.425	0	4.425	0	0.000	0	0.000	8418531
	LADOO GARMENTS PRIVATE LIMITED	AADCL3414F	0	3342016	0	0	3342016	1.757	3342016	0	3342016	1.757	0	1.757	0	0.000	0	0.000	3342016
	MAINLAND FINANCE PVT. LTD	AACCM3533D	0	4712150	0	0	4712150	2.477	4712150	0	4712150	2.477	0	2.477	0	0.000	0	0.000	4712150
	MOONRISE VINCOM PRIVATE LIMITED	AAHCM6894J	0	7500000	0	0	7500000	3.942	7500000	0	7500000	3.942	0	3.942	0	0.000	0	0.000	7500000
	ONWARD SUPPLIERS PRIVATE LIMITED .	AABCO3979Q	0	9500000	0	0	9500000	4.994	9500000	0	9500000	4.994	0	4.994	0	0.000	0	0.000	9500000
	PMB HOLDINGS PVT LTD	AABCP5003G	0	2986610	0	0	2986610	1.570	2986610	0	2986610	1.570	0	1.570	0	0.000	0	0.000	2986610
	SANGAM MERCHANTS PVT.LTD.	AAGCS5305M	0	6712156	0	0	6712156	3.528	6712156	0	6712156	3.528	0	3.528	0	0.000	0	0.000	6712156
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI - Repatriable		14	205514	0	0	205514	0.108	205514	0	205514	0.108	0	0.108	0	0.000	0	0.000	205514
	NRI - Non-Repatriable		7	148551	0	0	148551	0.078	148551	0	148551	0.078	0	0.078	0	0.000	0	0.000	147551
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		17	41936	0	0	41936	0.022	41936	0	41936	0.022	0	0.022	0	0.000	0	0.000	41936
	JEPF Authority		2	147382	0	0	147382	0.077	147382	0	147382	0.077	0	0.077	0	0.000	0	0.000	147382
	Sub-Total (B)(3)		2544	75235824	0	0	75235824	39.548	75235824	0	75235824	39.548	0	39.548	0	0.000	0	0.000	75098405
	<b>Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)</b>		<b>2546</b>	<b>75239923</b>	<b>0</b>	<b>0</b>	<b>75239923</b>	<b>39.550</b>	<b>75239923</b>	<b>0</b>	<b>75239923</b>	<b>39.550</b>	<b>0</b>	<b>39.550</b>	<b>0</b>	<b>0.000</b>	<b>0</b>	<b>0.000</b>	<b>75102504</b>



**Table V - Statement showing shareholding details of significant beneficial owner**

		<i>Details of the significant beneficial owner (I)</i>			<i>Details of the registered owner (II)</i>			<i>Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect :(III) Whether by virtue of:</i>					
Sr No.	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality	Shares %	Voting rights %	Rights on distributable dividend or any other distribution %	Exercise of control	Exercise of significant influence	Date of creation / acquisition of significant beneficial interest (IV)	
1	<b>Deepak Kothari</b>	<b>ABBPK9382M</b>	<b>Indian</b>	<b>Deepak Kothari (HUF)</b>	<b>AABHD7041M</b>	<b>Indian</b>	<b>17.59</b>			No	No	<b>30-01-2017</b>	